Sheet 1 of 2

## FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office

LIST OF DOCUMENTS CITED BY APPLICANT

(Use several sheets if necessary)

Attorney Docket Number: 5470-316

#4

Serial No. To be assigned

Applicants: DeSimone et al.

Filing Date: -Concurrently herewith

Group

October 10,2001

as<del>signed 17</del>

	Ouroper 10, 2001						assigned (75)	
U. S. PATENT DOCUMENTS								
Examiner Initial		Document Number	Date 09/09/97	Name	Class	Subclass	Filing Date if Appropriate	
M	1.	5,665,527		Allen et al.	430			
	2.	5,523,163	06/04/96	Ballance et al.	428	446		
	3.	5,116,637	05/26/92	Baney et al.	427	340		
	4.	5,547,703	08/20/96	Camilletti et al.	427	126.3		
·	5.	5,262,201	11/16/93	Chandra et al.	427	376.2		
	6.	6,231,989	05/11/01	Chung et al.	428	447		
	7.	5,739,223	04/14/98	DeSimone	526	89		
	8.	6,001,418	12/14/99	DeSimone et al.	427	240		
	9.	6,045,877	04/04/00	Gleason et al.	427	522		
	10.	4,749,631	06/07/88	Haluska et al.	428	704		
11. 4,756		4,756,977	06/12/88	Haluska et al.	428	704		
	12. 4,847,162 06/1		06/11/89	Haluska et al.	428	457		
	13.	4,842,888	06/27/89	Haluska et al.	427	38		
	14.	4,636,440	01/13/87	Jada	428	446		
	15.	6,074,695	06/13/00	Kobayashi et al.	427	245		
	16.	5,618,878	04/08/97	Skytich et al.	524	588		
of	17.	5,753,305	05/19/98	Smith et al.	427	335		
M	18.	6,024,801	02/15/00	Wallace et al.	134	1		
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	19.	WO 98/46526	10/22/98	PCT ·				
W)	20.	WO 98/47177	10/22/98	PCT				

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Mily James

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Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

am	21.	W0	11/05/98	PCT				
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	22.	WO 00/67072	11/09/00	PCT				
	23.	EP 0 775 669 A2	05/28/97	EPO				
	24.	EP 0 869 516 A1	07/10/98	ЕРО				
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	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	26.							
	27.	Gabor et al., "Block and Random Copolymer Resists Designed for 193 nm Lithography and Environmentally Friendly Supercritical CO <sub>2</sub> Development", <i>SPIE</i> , <b>2724</b> , 410-417, (1996).						
	28.	Glaser et al., "Effect of the H <sub>2</sub> 0/TEOS Ratio Upon The Preparation and Nitridation of Silica SOL/GEL Films", <i>Journal of Non-Crystalline Solids</i> , 63, 209-221, (1984).						
	29.	Hoggan et al., "Spin Coating and Photolithography Using Liquid and Supercritical Carbon Dioxide", Polymeric Materials and Science Engineering – Fall Meeting, New Orleans, Louisiana, 81, 47-48, (1999).						
	30.	Meerholz et al., "Stability Improvements of High-Performance Photorefractive Polymers Containing Eutectic Mixtures of Electro-optic Chromophores**", <i>Adv. Matr.</i> , 9(13), 1043, (1997).						
	31.	Ober et al., "Imaging Polymers with Supercritical Carbon Dioxide**", <i>Adv. Mater.</i> , 9(13), 1039-1042, (1997).						
	32.	Sundararajan et al., "Supercritical CO <sub>2</sub> Processing for Submicron Imaging of Fluoropolymers", <i>Chem. Mater.</i> , A-H, (1999).						
	33.	Weibel et al., "Patternable Low-K Dieletrics Developed Using Supercritical C0 <sub>2</sub> ", <i>Polymer Preprints</i> , <b>41</b> (2), 1838, (2000).						
V	34.	Yang et al., "Low-Surface-Energy Fluoromethacrylate Block Copolymers with Patternable Elements", Chem. Matr., 12(1), 33-40, (2000).						
M	Yu et al., "Microfabrication of Hydrogels as Polymer Scaffolds for Tissue Engineering Applications' Polymer Preprints, 41(2), 1699-1700, (2000).					g Applications",		

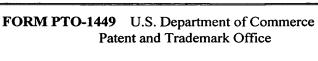
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## LIST OF DOCUMENTS CITED BY APPLICANT

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Attorney Docket Number

Serial No.

09/975,211

Applicants: DeSimone et al.

Filing Date: October 10, 2001

Group: 2812

ĺ	U. S. PATENT DOCUMENTS									
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$\dashv$		1.	5,665,527	09/09/97	Allen et al.	430	325	-		
4		2.	6,001,418	12/14/99	DeSimone et al.	427	240			
4		3.	6,045,877	04/04/00	Gleason et al.	427	522			
	M	4.	6,165,559	12/26/00	McClain et al.	427	388.1			
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ŀ	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)  5. International Search Report for PCT/US01/31504 dated November 30, 2001.									
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